



General Counsel and Chief Compliance Officer

Functional Group: Legal & Compliance
Reporting to: Chief Executive Officer
Campus Location: Stamford, CT
Compensation structure: Salary plus discretionary bonus

Who We Are

Almas is an investment technology and management firm focused on the utilization of advanced analytical methodologies and technologies to deliver innovative investment returns to its clients. In order to keep ahead of the market, we continue to seek best-in-class talent across all disciplines for our main campus in Stamford CT and our forthcoming offices in Bahrain, Dublin and Singapore.

Role Description

As the complexity of Almas' business grows in terms of products, client types and geographies, we need to ensure that we continue to employ best practices across all our legal, regulatory and compliance functions. We are searching for a best in class GC/CCO to fulfill this need.

Reporting to our General Partner and CEO, the GC/CCO will be a key member of our Executive Team and will be responsible for all legal, regulatory and compliance functions. We are looking for a brilliant and creative mind who will help us navigate the complexities of investing in global markets. The right candidate will be able to partner with the other members of our Executive Team on execution of their business plans with due care and prudence. Specific responsibilities will include:

- Ensuring that Almas remains in compliance with all local, state and federal laws and regulations in our current and prospective jurisdictions
- Sourcing and coordinating with top external legal firms within local markets or specialized practice areas
- Taking the lead on negotiating PB, ISDA, IMA, sub advisory and other agreements
- Staying abreast of new or potential laws or regulations that could impact our business lines or plans
- Overseeing registration processes with and serving as the main point of contact for financial market regulators globally
- Continuing to build out our policies, processes and reporting in areas including code of ethics, personal trading and internal and external surveillance
- Recruiting, inspiring and managing our legal and compliance talent as we continue to build out these functions

Required Skills & Experience

- JD from a leading law school
- 7+ years of post-JD experience with a minimum of 4 years in an investment advisor
- Strong knowledge of The Investment Advisors Act
- Exceptional judgment, attention to detail and creativity
- Experience with European and Asian regulators
- Strong interpersonal and communication skills
- Unquestionable integrity

Method of Application: Send resumes to careers@almascapital.com